



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Confirmation No.: 6270

HENDRICKS

Art Unit: 2178

Application No.: 09/335,268

Examiner: C. Paula

Filed: June 17, 1999

Docket No.: 026880-00020

For: SET TOP TERMINAL FOR USE WITH AN ELECTRONIC BOOK VIEWER

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
.0  
Sir:

Date: January 31, 2007

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the information item(s) listed on the attached Form PTO-SB08. Unless otherwise indicated herein, one copy of each item(s) is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the item(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date, OR (b) before the mailing date of a first Office Action on the merits in the present application, OR (c) accompanies a Request for Continued Examination. No certification or fee is required.
- ☐ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).
- ☐ c. A check in the amount of \$180.00 in payment of the fee under 37

§1.17(p). Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300 as needed to ensure consideration of the disclosed information.

☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Applicant(s) hereby petition(s) that the Information Disclosure Statement be considered. Attached is our check in the amount of \$180.00 in payment of the petition fee under 37 CFR §1.17(i)(1). Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300 as needed to ensure consideration of the disclosed information.

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☒ 4. The references were cited in corresponding foreign applications and related U.S. and foreign applications.

☒ 5. Copies of the foreign patent documents and the publications are on the attached CD.

In the event that any fees are due with respect to this paper, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 01-2300, referencing Atty. Docket No. 026880-00020.

Respectfully submitted,



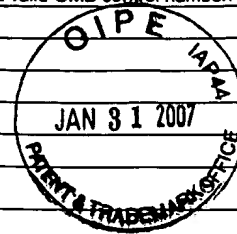
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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> Form PTO/SB/08a				<b>Complete if Known</b>	
				Application Number	09/335,268
				Filing Date	June 17, 1999
				First Named Inventor	John S. HENDRICKS
				Art Unit	2178
				Examiner Name	C. Paula
Sheet	1	of	28	Attorney Docket Number	026880-00020

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		3,869,873		n/a	Ringstad	
		3,891,792		n/a	Kimura	
		3,978,470		n/a	McGuire	
		4,071,697		01-1978	Bushnell et al.	
		4,197,590		4/8/1980	Sukonick, et al.	
		4,251,671		n/a	Reed et al.	
		4,298,793		11/1981	Melis et al.	
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		4,381,522		4/26/1983	Lambert	
		4,398,216		n/a	Field et al.	
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		4,621,282		n/a	Ahem	
		4,625,076		11-1986	Okamoto et al.	
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		4,644,470		Feb-87	Feigenbaum et al.	
		4,653,100		03/1987	Barnett, et al.	
		4,668,218		5/26/1987	Virtanen	
		4,668,246		n/a	Eilers et al.	
		4,673,976			Wreford-Howard	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code. <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached. AB indicates that only an English language abstract is attached.

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		4,688,218		8/18/1987	Blineau et al.	
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		4,691,109		10/2/1990	Tanaka	
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		4,706,121		n/a	Young	
		4,712,105		12/8/1987	Kohler	
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		4,724,491		2/9/1988	Lambert	
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		4,751,578		6/14/1988	Reiter et al.	
		4,768,087		8/1988	Taub et al.	
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		4,805,014		n/a	Sahara, et al.	
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		4,965,825		10/23/1990	Harvey, et al.	
		4,975,951		12/4/1990	Bennett	
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		5,003,384		3/26/1991	Durden, et al.	
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		5,124,980		6/23/1992	Maki	
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		5,233,333		08-1993	Borsuk, Sherwin M.	
		5,235,419		8/10/1993	Krause et al.	
		5,235,619		8/1993	Beyers et al.	

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		5,235,680		8/1993	Bijnagte	
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		5,289,288		2/22/1994	Silverman, et al.	
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		5,319,455		6/7/1994	Hoarty et al.	
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		5,341,166		8/23/1994	Garr, et al.	
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		5,341,474		8/23/1994	Gelman, et al.	
		5,343,239		8/30/1994	Lappington	
		5,343,516		n/a	Callele, et al.	
		5,345,580		9/1994	Tamaru et al.	
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				Application Number	09/335,268	
				Filing Date	June 17, 1999	
				First Named Inventor	John S. HENDRICKS	
				Art Unit	2178	
				Examiner Name	C. Paula	
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<b>U.S. PATENT DOCUMENTS</b>						
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

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				Examiner Name	C. Paula	
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				Art Unit	2178	
				Examiner Name	C. Paula	
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<b>U.S. PATENT DOCUMENTS</b>						
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**Complete if Known**

Application Number	09/335,268
Filing Date	June 17, 1999
First Named Inventor	John S. HENDRICKS
Art Unit	2178
Examiner Name	C. Paula

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Attorney Docket Number	026880-00020
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Examiner Initials*	Cite No.¹	Document Number	Number-Kind Code² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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				Application Number	09/335,268
				Filing Date	June 17, 1999
				First Named Inventor	HENDRICKS
				Art Unit	2178
				Examiner Name	C. Paula
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Examiner Initials*	Cite No. <sup>1</sup>	Country Code/Foreign Patent Document	Number <sup>2</sup> Kind Code <sup>3</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
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				Application Number	09/335,268
				Filing Date	June 17, 1999
				First Named Inventor	HENDRICKS
				Art Unit	2178
				Examiner Name	C. Paula
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				Application Number	09/335,268
				Filing Date	June 17, 1999
				First Named Inventor	HENDRICKS
				Art Unit	2178
				Examiner Name	C. Paula
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				Application Number	09/335,268
				Filing Date	June 17, 1999
				First Named Inventor	HENDRICKS
				Art Unit	2178
				Examiner Name	C. Paula
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				Application Number	09/335,268
				Filing Date	June 17, 1999
				First Named Inventor	HENDRICKS
				Art Unit	2178
				Examiner Name	C. Paula
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**FOREIGN PATENT DOCUMENTS**

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		Taiwan Pat.Pub.No. 236744		n/a	Franklin		
		Taiwan Pat.Pub.No. 238461		n/a	Manullan Jr.		

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT Form PTO/SB/08a</b>				Application Number	09/722,626
				Filing Date	November 28, 2000
				First Named Inventor	HENDRICKS
				Art Unit	2623
				Examiner Name	Jason P. Salce
Sheet	19	of	28	Attorney Docket Number	026880-00038

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
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				Application Number	09/722,626
				Filing Date	November 28, 2000
				First Named Inventor	HENDRICKS
				Art Unit	2623
				Examiner Name	Jason P. Salce
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**NON PATENT LITERATURE DOCUMENTS**

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				Art Unit	2623
				Examiner Name	Jason P. Salce
Sheet	21	of	28	Attorney Docket Number	026880-00038

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code. <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached. AB indicates that only an English language abstract is attached.

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